

IFW ✓

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Steve A. Yon, et al.

Title:

SYSTEM AND METHOD FOR
INDUCING HYPOTHERMIA WITH
CONTROL AND DETERMINATION OF
CATHETER PRESSURE

Serial No.: 10/785,394

Examiner: Not Yet Known

Filed: February 24, 2004

Group Art 3739
Unit:

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

The following items are being submitted for this Information Disclosure Statement:

1. X Preliminary Statements
2. X FORM PTO - 1449

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December 1, 2004

(Date of Signature)

1. Preliminary Statements

Applicants cite herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

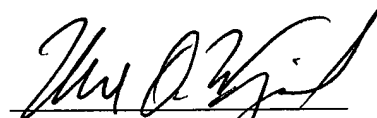
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No fee is believed to be due in connection with this submission as this Information Disclosure Statement is being filed within three (3) months of the application filing date or before receipt of the First Office Action.

However, if any fees are required in connection with this paper, authorization is hereby given to the Commissioner for Patents to charge same to Deposit Account No. 50-0914.

Date: Nov. 22, 2009



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Substitute for form 1449B/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	10/785,394
		Filing Date	February 24, 2004
		First Named Inventor	Yon
		Group Art Unit	Unknown
		Examiner Name	Unknown
		Attorney Docket Number	137001
Sheet	1	7	

U.S. PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	AA	RE33,911		Samson et al.	05/05/1992	
	AB	Des 347,890		Eads	06/14/1994	
	AC	Des 348,101		Poli et al.	06/21/1994	
	AD	2,308,484		Auzin, et al.	01/19/1943	
	AE	2,374,609		McCollum	04/24/1945	
	AF	2,615,686		Davidson	10/28/1952	
	AG	3,298,371		Lee	01/17/1967	
	AH	3,370,153		Du Fresne, et al.	02/20/1968	
	AI	3,413,438		Gardner, et al.	11/26/1968	
	AJ	3,504,674		Swenson, et al.	04/07/1970	
	AK	3,865,116		Brooks	02/11/1975	
	AL	3,888,259		Miley	06/10/1975	
	AM	3,971,383		Van Gerven	07/27/1976	
	AN	4,127,365		Martin et al.	11/28/1978	
	AO	4,153,048		Magrini	05/08/1979	
	AP	4,165,206		Martin et al.	08/21/1979	
	AQ	4,231,425		Engstrom	11/04/1980	
	AR	4,275,734		Mitchiner	06/30/1981	
	AS	4,298,006		Parks	11/03/1981	
	AT	4,318,722		Altman	03/09/1982	
	AU	4,375,941		Child	03/08/1983	
	AV	4,427,009		Wells, et al.	01/24/1984	
	AW	4,445,500		Osterholm	05/01/1984	
	AX	4,483,341		Witteles	11/20/1984	
	AY	4,493,625		Pieters	01/15/1985	
	AZ	4,502,286		Okada, et al.	03/05/1985	
	BA	4,532,414		Shah, et al.	07/30/1985	
	BB	4,569,355		Bitterly	02/11/1986	
	BC	4,581,017		Sahota	04/08/1986	
	BD	4,585,056		Oscarsson	04/29/1986	
	BE	4,593,258		Block	06/03/1986	
	BF	4,638,436		Badger et al.	01/20/1987	
	BG	4,655,746		Daniels, et al.	04/07/1987	
	BH	4,672,962		Hershenson	06/16/1987	
	BI	4,707,587		Greenblatt	11/17/1987	
	BJ	4,731,072		Aid	03/15/1988	
	BK	5,733,319		Neilson et al.	03/31/1998	
	BL	4,739,492		Cochran	04/19/1988	

Examiner Signature	Date Considered
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		Number	Kind Code ² (if known)			
	BM	4,745,928		Webler, et al.	05/24/1988	
	BN	4,748,979		Hershenson	06/07/1988	
	BO	4,750,493		Brader	06/14/1988	
	BP	4,762,129		Bonzel	08/09/1988	
	BQ	4,762,130		Fogarty, et al.	08/09/1988	
	BR	4,781,033		Steyert, et al.	11/01/1988	
	BS	4,789,000		Aslanian	12/06/1988	
	BT	4,796,640		Webler	01/10/1989	
	BU	4,819,655		Webler	04/11/1989	
	BV	4,820,349		Saab	04/11/1989	
	BW	4,860,744		Johnson, et al.	08/29/1989	
	BX	4,883,455		Leonard	11/28/1989	
	BY	4,894,164		Polaschegg	01/16/1990	
	BZ	4,904,237		Janese	02/27/1990	
	CA	4,917,687		O'Boyle	04/17/1990	
	CB	4,920,963		Brader	05/01/1990	
	CC	4,964,409		Tremulis	10/23/1999	
	CD	5,002,531		Bonzel	03/26/1991	
	CE	5,014,695		Benak, et al.	05/14/1991	
	CF	5,018,521		Campbell	05/28/1991	
	CG	5,019,075		Spears et al.	05/28/1991	
	CH	5,041,089		Mueller, et al.	08/20/1991	
	CI	5,078,713		Varney	01/07/1992	
	CJ	5,092,841		Spears	03/03/1992	
	CK	5,106,360		Ishiwara, et al.	04/21/1992	
	CL	5,108,390		Potocky et al.	04/28/1992	
	CM	5,110,721		Anaise, et al.	05/05/1992	
	CN	5,117,822		Laghi	06/02/1992	
	CO	5,147,355		Friedman, et al	09/15/1992	
	CP	5,149,321		Klatz, et al.	09/22/1992	
	CQ	5,151,100		Abele, et al.	09/29/1992	
	CR	5,174,285		Fontenot	12/29/1992	
	CS	5,180,896		Gibby et al	01/19/1993	
	CT	5,191,883		Lennox, et al.	03/09/1993	
	CU	5,196,024		Barath	03/23/1993	
	CV	5,197,466		Marchosky Rt Al.	03/30/1993	
	CW	5,226,286		Mo	07/13/1993	
	CX	5,234,405		Klatz, et al.	08/10/1993	

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	CY	5,234,413		Wonder et al.	08/10/1993	
	CZ	5,241,951		Mason et al.	09/07/1993	
	DA	5,248,312		Langberg	09/28/1993	
	DB	5,250,070		Parodi	10/05/1993	
	DC	5,264,260		Saab	11/23/1993	
	DD	5,269,369		Faghri	12/14/1993	
	DE	5,269,749		Koturov	12/14/1993	
	DF	5,281,213		Milder et al.	01/25/1994	
	DG	5,281,215		Milder	01/25/1994	
	DH	5,284,423		Holdsworth et al	02/08/1994	
	DI	5,310,440		Zingher	05/10/1994	
	DJ	5,320,503		Davis	06/14/1994	
	DK	5,322,514		Steube et al.	06/21/1994	
	DL	5,322,515		Karas et al.	06/21/1994	
	DM	5,322,518		Schneider et al.	06/21/1994	
	DN	5,324,319		Mason et al.	06/28/1994	
	DO	5,326,165		Walthall et al.	07/05/1994	
	DP	5,326,166		Walthall et al.	07/05/1994	
	DQ	5,326,236		Kramer et al.	07/05/1994	
	DR	5,328,461		Utterberg	07/12/1994	
	DS	5,330,435		Vaillancourt	07/19/1994	
	DT	5,330,438		Gollobin, et al.	07/19/1994	
	DU	5,330,519		Mason et al.	07/19/1994	
	DV	5,331,309		Sakai	07/19/1994	
	DW	5,332,399		Grabenkort et al.	07/26/1994	
	DX	5,334,179		Poli et al	08/02/1994	
	DY	5,334,180		Adolf et al.	08/02/1994	
	DZ	5,334,182		Simons et al.	08/02/1994	
	EA	5,334,188		Inoue et al.	08/02/1994	
	EB	5,334,193		Nardella	08/02/1994	
	EC	5,334,197		Kriesel et al.	08/02/1994	
	ED	5,336,190		Moss et al.	08/09/1994	
	EE	5,339,511		Bell	08/23/1994	
	EF	5,340,290		Clemens	08/23/1994	
	EG	5,342,181		Schock et al.	08/30/1994	
	EH	5,342,182		Montoya et al.	08/30/1994	
	EI	5,342,301		Saab	08/30/1994	
	EJ	5,342,346		Honda et al.	08/30/1994	

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		Number	Kind Code ² (if known)			
	EK	5,342,347		Kikuchi et al.	08/30/1994	
	EL	5,343,734		Maeda et al.	09/06/1994	
	EM	5,344,436		Fontenot, et al.	09/06/1994	
	EN	5,346,466		Yerlikaya et al.	09/13/1994	
	EO	5,352,213		Woodard	10/04/1994	
	EP	5,354,186		Murtuza et al.	10/11/1994	
	EQ	5,354,264		Bae et al.	10/11/1994	
	ER	5,354,272		Swendson et al.	10/11/1994	
	ES	5,364,364		Kasvikis, et al.	11/15/1994	
	ET	5,365,750		Greenthal	11/22/1994	
	EU	5,368,591		Lennox, et al.	11/29/1994	
	EV	5,383,918		Panetta	01/24/1995	
	EW	5,391,142		Sites, et al.	02/21/1995	
	EX	5,395,314		Klatz, et al.	03/07/1995	
	EY	5,417,686		Peterson, et al.	05/23/1995	
	EZ	5,423,745		Todd, et al.	06/13/1995	
	FA	5,433,740		Yamaguchi	07/18/1995	
	FB	5,437,664		Cohen, et al.	08/01/1995	
	FC	5,462,521		Brucker, et al.	10/31/1995	
	FD	5,466,131		Altham et al.	11/14/1995	
	FE	5,486,204		Clifton	01/23/1996	
	FF	5,514,094		Anello et al.	05/07/1996	
	FG	5,529,067		Larsen, et al.	06/25/1996	
	FH	5,588,438		McKown et al	12/31/1996	
	FI	5,591,162		Fletcher, et al.	01/07/1997	
	FJ	5,620,480		Rudie	04/15/1997	
	FK	5,643,197		Brucker et al	07/01/1997	
	FL	5,647,051		Neer	07/08/1997	
	FM	5,702,234		Pieters	12/30/1997	
	FN	5,702,358		Witherspoon, et al.	12/30/1997	
	FO	5,713,941		Robins, et al.	02/03/1998	
	FP	5,716,386		Ward, et al.	02/10/1998	
	FQ	5,730,720		Sites et al.	03/24/1998	
	FR	5,797,878		Bleam	08/25/1998	
	FS	5,800,480		Augustine, et al	09/01/1998	
	FT	5,827,237		Macoviak, et al.	10/27/1998	
	FU	5,833,671		Macoviak et al.	11/10/1998	
	FV	5,837,003		Ginsburg	11/17/1998	

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	FW	5,871,526		Gibbs et al	12/16/1999	
	FX	5,893,885		Webster, Jr.	04/13/1999	
	FY	5,913,885		Klatz et al	06/22/1999	
	FZ	5,913,886		Solomon	06/22/1999	
	GA	5,916,242		Schwartz	06/29/1999	
	GB	5,918,981		Ribi	07/06/1999	
	GC	6,019,783		Philips et al.	02/01/2000	
	GD	6,063,101		Jacobsen et al	05/16/2000	
	GE	6,113,593		Tu, et al.	09/05/2000	
	GF	6,149,673		Ginsburg	11/21/2000	
	GG	6,149,676		Ginsburg	11/21/2000	
	GH	6,194,899		Ishihara et al	02/27/2001	
	GI	6,231,594		Dae et al.	05/15/2001	
	GJ	6,264,680		Ash	07/24/2001	
	GK	6,290,696		Lafontaine	09/18/2001	
	GL	6,303,156		Ferrigno	10/16/2001	
	GM	6,315,995		Pinsky et al.	11/13/2001	
	GN	6,316,403		Pinsky et al.	11/13/2001	
	GO	6,336,911		Westerbeck	01/08/2002	
	GP	6,383,210		Magers et al.	05/07/2002	
	GQ	6,419,643		Shimada et al.	07/16/2002	
	GR	6,451,011		Tu	09/17/2002	
	GS	6,458,150		Evans, et al.	10/01/2002	
	GT	6,533,804		Dobak III, et al	03/18/2003	
	GU	6,581,403		Whitebrook et al.	06/24/2003	
	GV	6,585,752		Dobak III, et al.	07/01/2003	
	GW	6,620,188		Ginsburg et al.	09/16/2003	
	GX	6,635,076		Ginsburg et al.	10/21/2003	
	GY	6,648,906		Lasheras et al.	11/18/2003	
	GZ	6,660,028		Magers et al.	12/09/2003	
	HA	6,679,906		Hammak et al.	01/20/2004	
	HB	6,726,708		Lasheras et al.	04/27/2004	
	HC	6,786,916		Noda et al.	09/07/2004	
	HD	20010007940		Tu, et al.	07/12/2001	
	HE	20010009610		Augustine et al.	07/26/2001	
	HF	20010014802		Tu	08/16/2001	
	HG	20010039440		Lasheras	11/08/2001	
	HH	20010049545		Lasersohn et al.	12/06/2001	

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	HI	20020004675		Lasheras	01/10/2002	
	HJ	20020045852		Saab	04/18/2002	
	HK	20040030259		Dae et al.	02/12/2004	
	HL	20040064171		Briscoe et al.	04/01/2004	
	HM	20040102826		Lasheras	05/27/2004	
	HN	20040162520		Noda, et al.	08/19/2004	
	HO	20040199229		Lasheras	10/07/2004	
	HP	20040199230		Yon, Steve et al.	10/07/2004	

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	HQ		AU685559	B2	Avitall	01/22/1998		
	HR		CA2177982		Avitall	06/08/1995		
	HS		CN1082382	A	Avitall	02/23/1994		
	HT		EP 0 428 505	B2	Bjäre et al.	03/14/2001		
	HU		EP 0 655 225	A1	Cordis Euroopa N.V.	05/31/1993		
	HV		EP 0 664 990		Heart Rhythm Technologies, Inc.	11/19/1997		
	HW		FR2447406		Commissariat L'Energie Atomique, France	03/06/1980		
	HX		SU 806 029		Irkut Medical Inst.	02/23/1981		
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	II		WO 99/66970		Del Mar Medical Technologies, Inc..	12/29/1999		

Examiner Signature		Date Considered	
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¹²Unique citation designation number. ⁴Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known		
		Application Number	10/785,394	
		Filing Date	February 24, 2004	
		First Named Inventor	Yon	
		Group Art Unit	Unknown	
		Examiner Name	Unknown	
Sheet	7	7	Attorney Docket Number	137001

NIFOREIGN PATENT DOCUMENTS							
Examiner Initials ¹	Cite No. ¹	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear	T ²
		Office ³	Number ⁴				
	IJ		WO 99/66971		Del Mar Medical Technologies, Inc.	12/29/1999	
	IK		WO 04/075949		Innercool Therapies	09/10/2004	

OTHER PRIOR ART—NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	IL	Anon, <i>Automatic Feedback Instrumentation for Hospital Room Utilizing Mircrosensors</i> , IBM Technical Disclosure Bulletin, August, 1986, Vol. 29 (3) :1320	
	IM	Author Unknown; <i>Gorman-Rupp Hypothermia Machine (T-Control, 3)</i> ; Health Devices; pp. 263-265 (July-August, 1972)	
	IN	Author Unknown; <i>Gorman-Rupp Hypothermia Machine</i> ; Health Devices; pp. 190-191 (April 1972)	
	IO	Hederer, G., et al.; "Animal Experiment Observations Regarding Cardiac Surgery under Intravascular Hypothermia"; <i>Labgebbecjs Arch. U. Dtsch. A. Chir.</i> , Bd. 283, S. 601-625 (1957) (German article with English translation)	
	IP	Behmann, F.W.; "Heat Generation Control during Artificial Hypothermia: II. Theoretical Examinations"; <i>Pflügers Archiv</i> , Bd. 266, S. 422-446 (1958) (German article with English translation)	
	IQ	Behmann, F.W., et al.; « Heat Generation Control during Artificial Hypothermia: I: Experimental Examination of the Influence of Anesthetic Depth"; <i>Pflügers Archiv</i> , Bd. 266, S. 408-421 (1958) (German article with English translation)	
	IR	Behmann, F.W., et al.; <i>Intravascular Cooling, a Method to Achieve Controllable Hypothermia</i> ; <i>Pflügers Archive</i> , Vol. 263, pages 145-165 (1956) (German article with English translation)	
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